

Metro Open Budget Survey 2018

Section 1: Public Availability of Key Budget Documents

Section One of the Questionnaire comprises of four tables which provide details of the documents consulted for the completion of the questionnaire, the extent of their availability and the methods by which they are disseminated. Once filled in, these tables will serve as a foundation for the completion of the rest of the questionnaire, as researchers will reference the specific documents cited in the tables to answer the questions contained in Sections 2-5 of the questionnaire.

Essentially, this is a set of summary tables. They enable the reader of the completed questionnaire, firstly, to understand the sources of information on which it is based and, secondly, to have an overview on the central question of the availability of the main budget documents to ordinary citizens at the Metro level.

Specifically, Section One consists of the following tables:

- Table 1: Key Budget Documents
- Table 2: Details About Availability
- Table 3: When are the Key Budget Documents made Available to the Public?
- Table 4: General Questions

Table 1: Key Budget Documents

Budget Document	Full Title	Fiscal Year the Budget Document refers to	Date of Publication
Pre-Budget Statement			
Draft Medium-Term Revenue and Expenditure Framework (MTREF)			
Supporting MTREF Document			
Supporting MTREF Document			
Supporting MTREF Document			
Adopted MTREF			

Citizen's Budget			
In-Year Monthly Report			
Mid-Year Budget & Performance Assessments Report			
Annual Report			
Audit Report			

Sources:

Comments:

Governments Reviewer:

Peer Reviewer:

Table 2a: Details about Availability

[Metro Name]

	Pre-Budget Statement	Draft MTREF	Adopted Budget	Citizen's Budget
Is it produced at all?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it produced for internal purposes only?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it published too late compared to the accepted timeframe?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in hard copy, with charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in hard copy, NO charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in soft copy, with charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in soft copy, NO charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public online?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

Is the document available in at least one alternate language dominant in the metropolitan municipality?

- | | | | |
|---------------------------|---------------------------|---------------------------|---------------------------|
| <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes |
| <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No |

Is the document for the year prior to the budget year (BY-1) still available on the metropolitan municipality’s website?

- | | | | |
|---------------------------|---------------------------|---------------------------|---------------------------|
| <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes |
| <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No |

Sources:

Government Reviewer:

Peer Reviewer:

Table 2b: Details about Availability

[Metro Name]

	In-Year Monthly Report	Mid-Year Report	Annual Report	Audit Report
Is it produced at all?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

Is it produced for internal purposes only?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it published too late compared to the accepted timeframe?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in hard copy, with charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in hard copy, NO charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in soft copy, with charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public in soft copy, NO charge?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is it available to the public online?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
Is the document available in at least one alternate language dominant in the metropolitan municipality?	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

Is the document for the year prior to the budget year (BY-1) still available on the metropolitan municipality's website?

- | | | | |
|---------------------------|---------------------------|---------------------------|---------------------------|
| <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes | <input type="radio"/> Yes |
| <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No | <input type="radio"/> No |

Sources:

Government Reviewer:

Peer Reviewer:

Table 3: When Are the Key Budget Documents Made Available to the Public?

Pre-Budget Statement: When is the Pre-Budget Statement made available to the public?

- 100. At least four months in advance of the budget year, and at least one month before the Draft MTREF is introduced in the Council
- 67. At least two months, but less than four months, in advance of the budget year, and at least one month before the Draft MTREF is introduced in the Council
- 33. Less than two months in advance of the budget year, but at least one month before the Draft MTREF is introduced in the Council
- 0. Does not release to the public, or is released less than one month before the Draft MTREF is introduced to the Council

Executive Budget Proposal: When is the Draft MTREF made available to the public?

- 100. At least three months in advance of the budget year, and in advance of the budget being adopted by the Council
- 67. At least two months, but less than three months, in advance of the budget year, and in advance of the budget being adopted by the Council

- 33. Less than two months in advance of the budget year, but at least in advance of the budget being adopted by the Council
- 0. Does not release to the public, or is released after the budget has been adopted by the Council

Adopted Budget: When is the Adopted Budget made available to the public?

- 100. Two weeks or less after the budget has been adopted
- 67. Between two weeks and six weeks after the budget has been adopted
- 33. More than six weeks, but less than three months, after the budget has been adopted
- 0. Does not release to the public, or is released more than three months after the budget has been adopted

In-Year Report: When are In-Year Reports made available to the public?

- 100. At least every month, and within one month of the period covered
- 67. At least every quarter, and within three months of the period covered
- 33. At least semi-annually, and within three months of the period covered
- Does not release to the public

Mid-Year Review: How long after the mid-point in the fiscal year (i.e., six months into the fiscal year) is the Mid-Year Review made available to the public?

- 100. Six weeks or less after the mid-point
- 67. Nine weeks or less, but more than six weeks, after the mid-point
- 33. More than nine weeks, but less than three months, after the mid-point
- 0. Does not release to the public, or is released more than three months after the mid-point

Annual Report: How long after the end of the budget year is the Annual Report made available to the public?

- 100. Six months or less after the end of the budget year
- 67. Nine months or less, but more than 6 months, after the end of the budget year
- 33. More than nine months, but within 12 months, after the end of the budget year
- 0. Does not release to the public, or is released more than 12 months after the end of the budget year

Audit Report: How long after the end of the fiscal year are the final annual expenditures of metro level departments audited and released?

- 100. Six months or less after the end of the budget year
- 67. 12 months or less, but more than 6 months, after the end of the budget year
- 33. More than 12 months, but within 18 months, after the end of the budget year
- 0. Does not release to the public, or is released more than 18 months after the end of the budget year

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Table 4: General Questions

Are all the key budget documents available in one place on the metropolitan municipality’s website?

- a. Yes, all the key budget documents are available in one place.
- b. No, all the key budget documents are not available in one place.
- c. Not applicable/other (please comment).

[Metro Name] Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

If option “a” was selected in the previous question, please indicate how many mouse clicks were needed to get from the homepage to that place.

- a. One mouse click.
- b. Two mouse clicks.
- c. Three or more mouse clicks.
- d. All the key budget documents are not available in one place.

[Metro Name] Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Section 2: Comprehensiveness of Draft Medium-Term Revenue and Expenditure Framework (MTREF)

1. **Does the draft MTREF or any supporting budget documentation present expenditures for the budget year and the next two years (BY+1 and BY+2) that are classified by vote?**
 - a. Yes, all expenditures are presented by vote, for the budget year and the next two years.
 - b. Yes, some but not all expenditures are presented by vote.
 - c. No, expenditures are not presented by vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

2. Does the draft MTREF or any supporting budget documentation present expenditures for the budget year and the next two years (BY+1 and BY+2) by functional classification?

- a. Yes, all expenditures are presented by functional classification, for the budget year and the next two years.
- b. Yes, some but not all expenditures are presented by functional classification.
- c. No, expenditures are not presented by functional classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

3. Does the draft MTREF or any supporting budget documentation present operating expenditures for the budget year and the next two years (BY+1 and BY+2) by type?

- a. Yes, all operating expenditures are presented by type, for the budget year and the next two years.
- b. Yes, some but not all operating expenditures are presented by type.
- c. No, expenditures are not presented by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 4. Does the draft MTREF or any supporting budget documentation present operating expenditure by type for individual votes for the budget year and the next two years (BY+1 and BY+2)?**
- a.** Yes, expenditures for all individual votes are presented by type, for the budget year and the next two years.
 - b.** Yes, expenditures for some but not all individual votes are presented by type.
 - c.** No, expenditures for individual votes are not presented by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 5. Does the Draft MTREF or any supporting budget documentation present expenditures for the budget year and the next two years (BY+1 and BY+2) by sub-vote?**
- a.** Yes, all expenditures are presented by sub-vote, for the budget year and the next two years.
 - b.** Yes, some but not all expenditures are presented by sub-vote.

- c. No, expenditures are not presented by sub-vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 6. Does the Draft MTREF or any supporting budget documentation present expenditures for the budget year and the next two years (BY+1 and BY+2) by sub-function?**
- a. Yes, all expenditures are presented by sub-function, for the budget year and the next two years.
 - b. Yes, some but not all expenditures are presented by sub-function.
 - c. No, expenditures are not presented by sub-function.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 7. Does the draft MTREF or any supporting budget documentation present types of operating expenditure by item for the budget year and the next two years (BY+1 and BY+2)?**

- a. Yes, all types of operating expenditure estimates are presented by item, for the budget year and the next two years.
- b. Yes, some but not all types of operating expenditure are presented by item.
- c. No, types of expenditures are not presented by item.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

8. Does the draft MTREF or any supporting budget documentation present expenditure estimates on contracted services by type of service for the budget year and the next two years? (e.g. Examples to be inserted here)

- a. Yes, estimates by type of service accounting for all expenditures on contracted services are presented.
- b. Yes, some but not all estimates by type of service accounting for expenditures on contracted services are presented.
- c. No, expenditures on contracted services are not presented by type of services, or fewer than three years of budget data are presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

9. Does the draft MTREF or any supporting budget documentation present individual sources of operating revenue for the budget year and the next two years (BY+1 and BY+2)?

- a. Yes, individual sources of revenue, accounting for all operating revenue, are presented for the budget year and the next two years.
- b. Yes, individual sources of revenue, accounting for some but not all operating revenue are presented.
- c. No, individual sources of operating revenue are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

10. Does the draft MTREF or any supporting budget documentation present individual sources of grant revenue for operating expenditure for the budget year and the next two years (BY+1 and BY+2)?

- a. Yes, individual sources of grant revenue, accounting for all operating grant revenue, are presented for the budget year and the next two years.
- b. Yes, individual sources of grant revenue, accounting for some but not all operating grant revenue, are presented.
- c. No, individual sources of operating grant revenue are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 11. Does the draft MTREF or any supporting budget documentation present individual sources of capital revenue for the budget year and the next two years (BY+1 and BY+2)?**
- a. Yes, individual sources of revenue, accounting for all capital revenue, are presented for the budget year and the next two years.
 - b. Yes, individual sources of revenue, accounting for some but not all capital revenue are presented.
 - c. No, individual sources of capital revenue are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 12. Does the draft MTREF or any supporting budget documentation present individual sources of grant revenue for capital expenditure for the budget year and the next two years (BY+1 and BY+2)?**
- a. Yes, individual sources of grant revenue, accounting for all capital grant revenue, are presented for the budget year and the next two years.
 - b. Yes, individual sources of grant revenue, accounting for some but not all capital grant revenue, are presented.
 - c. No, individual sources of capital grant revenue are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

13. Does the draft MTREF or any supporting budget documentation include tables showing the benefit incidence of specific operating programmes, for the budget year and the next two years (BY+1 and BY+2)?

- a. Yes, detailed tables on the incidence of all operating programmes are presented, for the budget year and the next two years.
- b. Yes, detailed tables on the incidence of some but not all operating programmes are presented
- c. No, tables on the incidence of operating programmes are not presented

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

14. Does the draft MTREF or any supporting budget documentation include a table (s), for at least the budget year that shows how new policy proposals, as distinct from existing policies, affect expenditures?

- a. Yes, table (s) are included that show how all new policy proposals affect expenditures, along with a narrative discussion.
- b. Yes, table (s) are included that show how all new policy proposals affect expenditures, but a narrative discussion is not included.
- c. Yes, table (s) are included that shows how some but not all new policy proposals affect expenditure.

- d. No, no such table or narrative is included.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

15. Does the draft MTREF or any supporting budget documentation include a table (s), for at least the budget year that shows how new policy proposals, as distinct from existing policies, affect revenues?

- a. Yes, table (s) are included that show how all new policy proposals affect revenues, along with a narrative discussion.
- b. Yes, table (s) are included that show how all new policy proposals affect revenues, but a narrative discussion is not included.
- c. Yes, table (s) are included that shows how some but not all new policy proposals affect revenues.
- d. No, no such table or narrative is included.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

16. Does the draft MTREF or any supporting budget documentation present expenditures for the year preceding the budget year (BY-1) by vote?

- a. Yes, all expenditure estimates for BY-1 are presented by vote.
- b. Yes, some but not all expenditure estimates for BY-1 are presented by vote.
- c. No, expenditure estimates for BY-1 are not presented by vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

17. Does the draft MTREF or any supporting budget documentation present expenditures for the year preceding the budget year (BY-1) by functional classification?

- a. Yes, all expenditure estimates for BY-1 are presented by functional classification.
- b. Yes, some but not all expenditure estimates for BY-1 are presented by functional classification.
- c. No, expenditure estimates for BY-1 are not presented by functional classification

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

18. Does the draft MTREF or any supporting budget documentation present operational expenditures for the year preceding the budget year (BY-1) by type?

- a. Yes, all operational expenditure estimates for BY-1 are presented by type
- b. Yes, some but not all operational expenditure estimates for BY-1 are presented by type
- c. No, operational expenditure estimates for BY-1 are not presented by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

19. Does the draft MTREF or any supporting budget documentation present expenditures for the year preceding the budget year (BY-1) by sub-vote?

- a. Yes, all expenditure estimates for BY-1 are presented by sub-vote.
- b. Yes, some but not all expenditure estimates for BY-1 are presented by sub-vote.
- c. No, expenditure estimates for BY-1 are not presented by sub-vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

20. Does the draft MTREF or any supporting budget documentation present expenditures for the year preceding the budget year (BY-1) by sub-function?

- a. Yes, all expenditure estimates for BY-1 are presented by sub-function.
- b. Yes, some but not all expenditure estimates for BY-1 are presented by sub-function.
- c. No, expenditure estimates for BY-1 are not presented by sub-function

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

21. Does the draft MTREF or any supporting budget documentation present types of operating expenditure by item for the year preceding the budget year (BY-1)?

- a. Yes, all types of operating expenditure for BY-1 are presented by item.
- b. Yes, some but not all types of operating expenditure for BY-1 are presented by item.
- c. No, types of operating expenditure for BY-1 are not presented by item.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 22. In the draft MTREF or any supporting budget documentation, have expenditure estimates (for all three expenditure classifications) for the year prior to the budget year (BY-1) been updated from the original adopted levels to reflect the adjusted budget and the full-year forecast?**
- a. Yes, expenditure estimates for all three classifications for BY-1 have been updated from the original adopted levels to reflect the adjusted budget and the full-year forecast.
 - b. Yes, some but not all expenditure estimates for BY-1 have been updated from the original adopted levels.
 - c. No, expenditure estimates for BY-1 have been not updated from the original adopted levels.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 23. Does the draft MTREF or any supporting budget documentation present individual sources of operating revenue for the year preceding the budget year (BY-1)?**
- a. Yes, individual sources of revenue, accounting for all operating revenue, are presented for BY-1.
 - b. Yes, individual sources of revenue, accounting for some but not all operating revenue are presented for BY-1.
 - c. No, individual sources of operating revenue are not presented for BY-1.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

24. Does the draft MTREF or any supporting budget documentation present individual sources of grant revenue for operating expenditure for the year preceding the budget year (BY-1)?

- a. Yes, individual sources of grant revenue, accounting for all operating grant revenue, are presented for BY-1.
- b. Yes, individual sources of grant revenue, accounting for some but not all operating grant revenue, are presented for BY-1.
- c. No, individual sources of operating grant revenue are not presented for BY-1.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

25. Does the draft MTREF or any supporting budget documentation present individual sources of capital revenue for the year preceding the budget year (BY-1)?

- a. Yes, individual sources of revenue, accounting for all capital revenue, are presented for BY-1.
- b. Yes, individual sources of revenue, accounting for some but not all capital revenue are presented for BY-1.

- c. No, individual sources of capital revenue are not presented for BY-1.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

26. Does the draft MTREF or any supporting budget documentation present individual sources of grant revenue for capital expenditure for the year preceding the budget year (BY-1)?

- a. Yes, individual sources of grant revenue, accounting for all capital grant revenue, are presented for BY-1.
- b. Yes, individual sources of grant revenue, accounting for some but not all capital grant revenue, are presented for BY-1.
- c. No, individual sources of capital grant revenue are not presented for BY-1.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

27. In the draft MTREF or any supporting budget documentation, have revenue estimates (for all sources of operating and capital revenue) for the year prior to the budget year (BY-1) been updated from the original adopted levels to reflect the adjusted budget

and the full-year forecast?

- a. Yes, revenue estimates for all sources of operating and capital revenue for BY-1 have been updated from the original adopted levels to reflect the adjusted budget and the full-year forecast.
- b. Yes, some but not all revenue estimates for BY-1 have been updated from the original adopted levels.
- c. No, revenue estimates for BY-1 have been not updated from the original adopted levels.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

28. Does the draft MTREF or any supporting budget documentation present estimates of expenditure for more than one year prior to the budget year (that is, BY-2 and prior years) by vote and functional classification

- a. Yes, expenditure estimates for BY-2 and prior years are presented by vote and functional classification.
- b. Yes, expenditure estimates for BY-2 and prior years are presented by either vote or functional classification, but not both.
- c. No, expenditure estimates for BY-2 and prior years are not presented by vote or functional classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

29. Does the draft MTREF or any supporting budget documentation present estimates of operating expenditure for more than one year prior to the budget year (that is, BY-2 and prior years) by type?

- a. Yes, operating expenditure estimates for BY-2 and prior years are presented by type.
- b. Yes, some but not all operating expenditure estimates for BY-2 and prior years are presented by type.
- c. No, operating expenditure estimates for BY-2 and prior years are not presented by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

30. Does the draft MTREF or any supporting budget documentation present expenditures for more than one year preceding the budget year (that is, BY-2 and prior years) by sub-vote and sub-function?

- a. Yes, expenditure estimates for BY-2 and prior years are presented by sub-vote and sub-function.
- b. Yes, expenditure estimates for BY-2 and prior years are presented by either sub-vote or sub-function but not both.
- c. No, expenditure estimates for BY-2 and prior years are not presented by sub-vote or sub-function.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 31. Does the draft MTREF or any supporting budget documentation present types of operating expenditure by item for more than one year preceding the budget year (that is, BY-2 and prior years)?**
- a. Yes, all types of operating expenditure for BY-2 and prior years are presented by item.
 - b. Yes, some but not all types of operating expenditure for BY-2 and prior years are presented by item.
 - c. No, types of operating expenditure for BY-2 and prior years are not presented by item.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 32. Does the draft MTREF or any supporting budget documentation present expenditures for individual capital projects for the budget year and the next two years (BY+1 and BY+2)?**
- a. Yes, expenditures for all individual capital projects are presented, for the budget year and the next two years.
 - b. Yes, expenditures for some but not all individual capital projects are presented.
 - c. No, expenditures for individual capital projects are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

33. Does the draft MTREF or any supporting budget documentation present expenditures for individual capital projects for the year prior to the budget year (BY-1)?

- a. Yes, expenditures for all individual capital projects for the year prior to the budget year (BY-1) are presented.
- b. Yes, expenditures for some but not all individual capital projects for the year prior to the budget year (BY-1) are presented.
- c. No, expenditures for individual capital projects for the year prior to the budget year (BY-1) are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

34. Does the draft MTREF or any supporting budget documentation present estimates of the total cost of individual capital projects?

- a. Yes, estimates of the total project cost accounting for all individual capital projects are presented.
- b. Yes, estimates of the total project cost accounting for some but not all individual capital projects are presented.
- c. No, estimates of the total project cost of individual capital projects are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

35. Does the draft MTREF or any supporting budget documentation present estimates of the planned duration for individual capital projects?

- a. Yes, estimates of the planned duration are presented for all individual capital projects
- b. Yes, estimates of the planned duration is presented for some but not all, individual capital projects
- c. No, estimates of the planned duration is not presented for individual capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

36. Does the draft MTREF or any supporting budget documentation indicate in which wards individual capital projects are located?

- a. Yes, ward information is presented for all capital projects
- b. Yes, ward information is presented for some but not all, capital projects or more than 33% of projects are marked as 'multi-ward'.
- c. No, ward information is not presented for capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 37. Does the draft MTREF or any supporting budget documentation indicate in which wards individual capital projects due to start after the budget year, are located?**
- a. Yes, ward information is presented for all capital projects due to start after the current budget year.
 - b. No, ward information is not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 38. Does the draft MTREF or any supporting budget documentation present the GPS coordinates for individual capital projects for the budget year?**
- a. Yes, the GPS coordinates are presented for all capital projects
 - b. Yes, the GPS coordinates are presented for some, but not all, capital projects
 - c. No, the GPS coordinates are not presented for capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 39. Does the draft MTREF or any supporting budget documentation present the GPS coordinates for capital projects which are due to start after the current budget year?**
- a. Yes, the GPS coordinates are presented for all capital projects due to start after the current budget year.
 - b. Yes, the GPS coordinates are presented for some, but not all, capital projects due to start after the current budget year
 - c. No, the GPS coordinates are not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 40. Does the draft MTREF or any supporting budget documentation present the IDP goal code for individual capital projects for the budget year?**
- a. Yes, the IDP goal code is presented for all capital projects
 - b. Yes, the IDP goal code is presented for some but not all, capital projects

- c. No, the IDP goal code is not presented for capital project

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 41. Does the draft MTREF or any supporting budget documentation present the IDP goal code for capital projects which are due to start after the current budget year?**
- a. Yes, the IDP goal code is presented for all capital projects due to start after the current budget year.
 - b. Yes, the IDP goal code is presented for some, but not all, capital projects due to start after the current budget year
 - c. No, the IDP goal code is not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

- 42. Does the draft MTREF or any supporting budget documentation present project descriptions for individual capital projects for the budget year?**

- a. Yes, project descriptions are presented for all capital projects
- b. Yes, project descriptions are presented for some, but not all, capital projects
- c. No, project descriptions are not presented for capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

43. Does the draft MTREF or any supporting budget documentation present project descriptions for capital projects which are due to start after the current budget year?

- d. Yes, project descriptions are presented for all capital projects due to start after the current budget year.
- a. Yes, project descriptions are presented for some, but not all, capital projects due to start after the current budget year
- b. No, project descriptions are not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

44. Does the draft MTREF or any supporting budget documentation present the asset class for individual capital projects for the budget

year?

- a. Yes, the asset class is presented for all capital projects
- b. Yes, the asset class is presented for some but not all, capital projects
- c. No, the asset class is not presented for capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

45. Does the draft MTREF or any supporting budget documentation present the asset class for capital projects which are due to start after the current budget year?

- a. Yes, the asset class is presented for all capital projects due to start after the current budget year.
- b. Yes, the asset class is presented for some but not all, capital projects due to start after the current budget year
- c. No, the asset class is not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

46. Does the draft MTREF or any supporting budget documentation present the asset sub-class for individual capital projects for the budget year?

- a. Yes, the asset sub-class is presented for all capital projects
- b. Yes, the asset sub-class is presented for some but not all, capital projects
- c. No, the asset sub-class is not presented for capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

47. Does the draft MTREF or any supporting budget documentation present the asset sub-class for capital projects which are due to start after the current budget year?

- a. Yes, the asset sub-class is presented for all capital projects due to start after the current budget year.
- b. Yes, the asset sub-class is presented for some but not all, capital projects due to start after the current budget year
- c. No, the asset sub-class is not presented for capital projects due to start after the current budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

48. Does the draft MTREF or any supporting budget documentation indicate which sources of revenue individual capital projects will be funded from for the budget year?

- a. Yes, sources of revenue accounting for all individual capital projects are presented for the budget year.
- b. Yes, sources of revenue accounting for some but not all individual capital projects are presented for the budget year.
- c. No, sources of revenue for individual capital projects are not presented for the budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

49. Does the draft MTREF or any supporting budget documentation indicate from which sources of revenue individual capital projects will be funded from for the next two years (BY+1 and BY+2)?

- a. Yes, sources of revenue accounting for all individual capital projects are presented for BY+1 and BY+2.
- b. Yes, sources of revenue accounting for some but not all individual capital projects are presented for BY+1 and BY+2.
- c. No, sources of revenue for individual capital projects are not presented for By+1 and BY+2.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

50. In the draft MTREF or any supporting budget documentation, what is the most recent year presented for which all expenditures reflect audited outcomes?

- a. Two years prior to the budget year (BY-2).
- b. Three years prior to the budget year (BY-3).
- c. No audited outcomes for all expenditures are presented in the budget or supporting budget documentation.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

51. Does the draft MTREF or any supporting budget documentation present estimates of current and noncurrent assets?

- a. Yes, estimates for a multi-year period and disaggregated by type of asset are presented
- b. Yes, estimates for a multi-year period, but not disaggregated by type of asset, are presented
- c. Yes, some estimates are presented, but not for a multi-year period and not disaggregated by type of asset
- d. No, estimates of current and noncurrent assets are not presented

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

52. Does the draft MTREF or any supporting budget documentation present estimates of current and noncurrent assets for the year preceding the budget year (BY-1)?

- a. Yes, estimates for BY-1 and disaggregated by type of asset are presented
- b. Yes, estimates for BY-1, but not disaggregated by type of asset, are presented
- c. No, estimates of current and noncurrent assets are not presented for BY-1

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

53. Does the draft MTREF or any supporting budget documentation present estimates of current and noncurrent liabilities?

- a. Yes, estimates for a multi-year period and disaggregated by type of liability are presented
- b. Yes, estimates for a multi-year period, but not disaggregated by type of liability, are presented
- c. Yes, some estimates are presented, but not for a multi-year period and not disaggregated by type of liability
- d. No, estimates of current and noncurrent liabilities are not presented

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

54. Does the draft MTREF or any supporting budget documentation present estimates of current and noncurrent liabilities for the year preceding the budget year (BY-1)?

- a. Yes, estimates for BY-1 and disaggregated by type of liability are presented
- b. Yes, estimates for BY-1, but not disaggregated by type of liability, are presented
- c. No, estimates of current and noncurrent liabilities are not presented for BY-1

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

55. Does the draft MTREF or any supporting budget documentation present estimates of the accumulated surplus/deficit?

- a. Yes, disaggregated estimates for a multi-year period are presented
- b. Yes, estimates for a multi-year period, but not disaggregated, are presented

- c. Yes, some estimates are presented, but not for a multi-year period and not disaggregated
- d. No, estimates of the accumulated surplus/deficit are not presented

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

56. Does the draft MTREF or any supporting budget documentation present estimates of the accumulated surplus/deficit for the year preceding the budget year (BY-1)?

- a. Yes, disaggregated estimates for BY-1 are presented
- b. Yes, estimates for BY-1, but not disaggregated, are presented
- c. No, estimates of the accumulated surplus/deficit are not presented for BY-1

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

57. Does the draft MTREF or any supporting budget documentation present estimates of total reserves?

- a. Yes, disaggregated estimates for a multi-year period are presented
- b. Yes, estimates for a multi-year period, but not disaggregated, are presented
- c. Yes, some estimates are presented, but not for a multi-year period and not disaggregated
- d. No, estimates of the total reserves are not presented

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

58. Does the draft MTREF or any supporting budget documentation present estimates of the total reserves for the year preceding the budget year (BY-1)?

- a. Yes, disaggregated estimates for BY-1 are presented
- b. Yes, estimates for BY-1, but not disaggregated, are presented
- c. No, estimates of the total reserves are not presented for BY-1

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

59. In the draft MTREF or any supporting budget documentation, what is the most recent year presented for which the financial position figures reflect actual outcomes?

- a. Two years prior to the budget year (BY-2).
- b. Three years prior to the budget year (BY-3).
- c. Before BY-3.
- d. No actual data for the financial position are presented in the budget or supporting budget documentation.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

60. Does the draft MTREF or any supporting budget documentation present estimates of transfers to municipal and other government entities for at least the budget year?

- a. Yes, estimates of all transfers to municipal and other government entities are presented, along with a narrative discussion.
- b. Yes, estimates of all transfers to municipal and other government entities are presented, but a narrative discussion is not included.
- c. Yes, estimates of some but not all transfers municipal and other government entities are presented.
- d. No, estimates of transfers to municipal and other government entities are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

61. Does the draft MTREF or any supporting budget documentation present information on financial assets held by the government?

- a. Yes, core information is presented for all financial assets, including a listing by category.
- b. Yes, the core information is presented for all financial assets, but not by category.
- c. Yes, information is presented, but it excludes some financial assets.
- d. No, information related to financial assets is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

62. Does the draft MTREF or any supporting budget documentation present information on non-financial assets held by the government?

- a. Yes, the total value of the assets on the asset register is presented, with some disaggregation.
- b. Yes, the total value of the assets on the asset register is presented, but with no disaggregation.
- c. No, information related to nonfinancial assets is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

63. Does the draft MTREF or any supporting budget documentation present estimates of expenditure arrears for at least the budget year?

- a. Yes, estimates of all expenditure arrears are presented, along with a narrative discussion.
- b. Yes, estimates of all expenditure arrears are presented, but a narrative discussion is not included.
- c. Yes, estimates of some but not all expenditure arrears are presented.
- d. No, estimates of expenditure arrears are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

64. Does the draft MTREF or any supporting budget documentation present information on contingent liabilities, such as government loan guarantees or insurance programs?

- a. Yes, information beyond the core elements is presented for all contingent liabilities, including a rationale for each contingent liability.
- b. Yes, the core information is presented for all contingent liabilities, but not including a rationale.
- c. Yes, information is presented, but it excludes some core elements or some contingent liabilities.
- d. No, information related to contingent liabilities is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

65. Does the draft MTREF or any supporting budget documentation present estimates of the sources of donor assistance, both financial and in-kind?

- a. Yes, estimates of all sources of donor assistance are presented by total, along with a narrative discussion.
- b. Yes, some but not all estimates of sources of donor assistance are presented by total, or a narrative discussion is not included.
- c. No, estimates of the sources of donor assistance are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

66. Does the draft MTREF or any supporting budget documentation present information on tax expenditures for at least the budget year?

- a. Yes, information on tax expenditures along with include a statement of purpose or policy rationale for each tax expenditure, the intended beneficiaries, and an estimate of the revenue foregone is presented.
- b. Yes, some but not all information on tax expenditures are presented or some of the following is not included: a statement of purpose or policy rationale for each tax expenditure, the intended beneficiaries, and an estimate of the revenue foregone.
- c. No, information related to tax expenditures is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

67. Does the draft MTREF or any supporting budget documentation present estimates of earmarked revenues?

- a. Yes, estimates of all earmarked revenues are presented, along with a narrative discussion.
- b. Yes, some but not all estimates of earmarked revenues are presented, or a narrative discussion is not included.
- c. No, estimates of earmarked revenues are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

68. Does the draft MTREF or any supporting budget documentation present nonfinancial data on results (in terms of outputs or outcomes) for at least the budget year?

- a. Yes, nonfinancial data on results are provided for each program within all votes (or functions).
- b. Yes, nonfinancial data on results are provided for some but not all programs within all the votes (or functions).
- c. No, nonfinancial data on results are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

69. Are performance targets assigned to nonfinancial data on results in the draft MTREF or any supporting budget documentation?

- a. Yes, performance targets are assigned to all nonfinancial data on results.
- b. Yes, performance targets are assigned to some, but not all nonfinancial data on results.
- c. No, performance targets are not assigned to nonfinancial data on results, or the budget does not present nonfinancial data on results.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Citizen's Budget

70. Does the Council or the Executive publish a Citizen's Budget?

- a. Yes, the Council or the Executive does publish a Citizen's Budget
- b. No, the Council or the Executive does not publish a Citizen's Budget

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

The Procurement System

71. Does the Metro publish a consolidated Annual Procurement Plan, which includes procurement information for all relevant Metropolitan departments and entities?

- a. The Annual Procurement Plan is published and includes consolidated information for all relevant Metro departments and entities.

- b. The consolidated Annual Procurement Plan is published but it does not include the procurement plans of all departments and entities, and therefore is not complete.
- c. No consolidated Annual Procurement Plan is published, but information can be accessed from the procuring entities (the departments and entities of the Metro).
- d. There is no information publicly available on the annual procurement plans to be implemented by the Metro and entities

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

72. Does the Metro publish tender specifications for all tenders and can they be downloaded free of charge?

- a. Yes, the Metro does publish tender specifications which are downloadable, free of charge and remain on the website after the closing date.
- b. Yes, the Metro publishes tender specifications, which are downloadable and are free of charge, but are removed them from the website once the tender closes.
- c. Yes, the Metro publishes tender specification but only those registered on the supplier data base can download them.
- d. No, tender specifications are not published.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

73. Does the Metro make available to the public the launch dates of open public procurement tenders by its departments and entities?

- a. Information on the launch of all public tenders by the Metro departments and entities is made publicly available.
- b. Information on the launch of most public tenders by the Metro departments and entities is made publicly available, but a minority of tenders is separately published by departments and agencies.
- c. No announcements of public tenders are made to the public.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

74. Is information on the awarding of public tenders made available in time for an appeal, where relevant?

- a. Information on the awarding of all public tenders is made publicly available in time for an appeal, where relevant.
- b. Information on the award of some but not all public tenders is made available, with some tender awards separately published by departments and entities, but all in time for an appeal, where relevant.
- c. No public announcements of tender awards are made, or they are made too late to appeal against award decisions.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

75. Does the Executive provide a specific mechanism through which the public can provide feedback on the execution of specific procurement contracts?

- a. Yes, the Executive provides a specific mechanism through which the public can provide feedback on the execution of specific procurement contracts and reports on how such public inputs were used to take remedial action
- b. Yes, the Executive provides a specific mechanism through which the public can provide feedback on the execution of specific procurement contracts but does not report on how such public inputs were used to take remedial action
- c. No, the Executive does not provide such a mechanism.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Section 3: Comprehensiveness of Other key Budget Documents

Pre-Budget Statement

76. Does the Pre-Budget Statement or any supporting documentation present information on the government’s expenditure policies and priorities that will guide the development of detailed estimates for the upcoming budget?

- a. Yes, all core information is presented for the government’s expenditure policies and priorities.
- b. Yes, some but not all core information is presented for the government’s expenditure policies and priorities.
- c. No, information related to the government’s expenditure policies and priorities is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

77. Does the Pre-Budget Statement or any supporting documentation present information on the government’s revenue policies and priorities that will guide the development of detailed estimates for the upcoming budget?

- a. Yes, all core information is presented for the government’s revenue policies and priorities.
- b. Yes, some but not all core information is presented for the government’s revenue policies and priorities.
- c. No, information related to the government’s revenue policies and priorities is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

78. Does Pre-Budget Statement or any supporting documentation present three estimates related to government borrowing and debt: the amount of net new borrowing required during the budget year; the total debt outstanding at the end of the budget year; and interest payments on the debt for the budget year?

- a. Yes, all three estimates related to government borrowing and debt are presented.
- b. Yes, two of the three estimates related to government borrowing and debt are presented.
- c. Yes, one of the three estimates related to government borrowing and debt are presented.
- d. No, none of the three estimates related to government borrowing and debt are presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

79. Does the Pre-Budget Statement or any supporting documentation present estimates of total expenditures for a multi-year period (at least two-years beyond the budget year)?

- a. Yes, multi-year expenditure estimates are presented.
- b. No, multi-year expenditure estimates are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

80. Does the Pre-Budget Statement or any supporting documentation present the information and processes that will be used by the Executive to prioritize individual capital projects in the following budget year?

- a. Yes, both the information and processes are presented and explained in detail
- b. Yes, information and processes are presented and explained, but important details are not explained.
- c. No, the information and processes that will be used by the Executive to prioritize individual capital projects in the following budget year are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Adopted MTREF

81. Does the Adopted MTREF present a statement of the changes between the draft MTREF and the final MTREF?

- a. Yes, a statement of all changes is presented, along with a narrative discussion.
- b. Yes, a statement of all changes is presented, but a narrative discussion is not included.
- c. No, a statement of changes is not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

In-Year Reports

82. Does the In-Year Report present expenditures by vote and function?

- a. Yes, all actual expenditures are presented by vote and function
- b. Yes, some but not all actual expenditures are presented by vote and function.
- c. No, actual expenditures are not presented by any expenditure classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

83. Does the In-Year Report present operational expenditures by type for individual votes?

- a. Yes, all operational expenditures are presented for votes by type.
- b. Yes, some but not all operational expenditures are presented for votes by type
- c. No, operational expenditures are not presented for votes by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

84. Does the In-Year Report present expenditures by sub-vote and sub-function?

- a. Yes, all expenditure estimates are presented by sub-vote and sub-function classifications.
- b. Yes, some but not all expenditure estimates are presented by sub-vote and sub-function classifications.
- c. No, expenditure estimates are not presented by sub-vote or sub-function classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

85. Does the In-Year Report present operational expenditures by sub-type?

- a. Yes, all actual expenditures are presented by sub-type.
- b. Yes, some but not actual expenditures are presented by sub-type.
- c. No, actual expenditures are not presented by sub-type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer

86. Does the In-Year Report compare actual year-to-date expenditures with the original estimate for that period (based on the adopted budget)?

- a. Yes, comparisons are made for expenditures presented in the In-Year Reports.
- b. No, comparisons are not made for expenditures presented in the In-Year Reports.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

87. Does the In-Year Report present explanations for year-to-date variances in operating expenditure by vote and type?

- a. Yes, all explanations are presented for year-to-date variances in operating expenditure by vote and type
- b. Yes, some but not all explanations are presented for year-to-date variances in operating expenditure by vote and type.
- c. No, explanations are not presented for year-to-date variances in operating expenditure by vote and type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

88. Does the In-Year Report present remedial steps to address year-to-date variances in operating expenditures by vote and type?

- a. Yes, all remedial steps are presented to address year-to-date variances in operating expenditures by vote and type.
- b. Yes, some but not all remedial steps are presented to address year-to-date variances in operating expenditures by vote and type.
- c. No, remedial steps are not presented to address year-to-date variances in operating expenditures by vote and type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

89. Does the In-Year Report compare actual year-to-date revenues with either the original estimate for that period (based on the adopted budget) or the same period in the previous year?

- a. Yes, comparisons are made for revenues presented in the In-Year Reports.
- b. No, comparisons are not made for revenues presented in the In-Year Reports

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

90. Does the In-Year Report present actual expenditures for individual capital projects?

- a. Yes, all actual expenditures are presented all individual capital projects.
- b. Yes, some but not all actual expenditures are presented for individual capital projects.
- c. No, actual expenditures are not presented for individual capital projects.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

91. Does the In-Year Report present explanations for year-to-date variances in expenditures on individual capital projects?

- a. Yes, all explanations are presented for year-to-date variances in expenditures on individual capital projects.
- b. Yes, some but not all explanations are presented for year-to-date variances in expenditures on individual capital projects.
- c. No, explanations are not presented for year-to-date variances in expenditures on individual capital projects.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

92. Does the In-Year Report present remedial steps to address year-to-date variances in expenditures on individual capital projects?

- a. Yes, remedial steps are presented for all year-to-date variances on expenditure on individual capital projects.
- b. Yes, remedial steps are presented for some but not all year-to-date variances on expenditure on individual capital projects.
- c. No, remedial steps are not presented for year-to-date variations in expenditures on individual capital projects.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

93. Does the In-Year Report present explanations for year-to-date variances in capital expenditure by vote?

- a. Yes, all explanations are presented for year-to-date variances in capital expenditure by vote.
- b. Yes, some but not all explanations are presented for year-to-date variances in capital expenditure by vote.
- c. No, explanations are not presented for year-to-date variances in capital expenditure by vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

94. Does the In-Year Report present remedial steps to address year-to-date variances in capital expenditure by vote?

- a. Yes, all remedial steps are presented to address year-to-date variances in capital expenditure by vote.
- b. Yes, some but not all remedial steps are presented to address year-to-date variances in capital expenditure by vote.
- c. No, remedial steps are not presented to address year-to-date variances in capital expenditure by vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Mid-Year Review

95. Does the Mid-Year Review of the adopted MTREF include updated expenditure estimates for the budget year underway?

- a. Yes, expenditure estimates have been updated, and an explanation of all the differences between the original and updated expenditure estimates is presented.
- b. Yes, expenditure estimates have been updated, and an explanation of some, but not all the differences between the original and updated expenditure estimates is presented.
- c. Yes, expenditure estimates have been updated, but an explanation of the differences between the original and updated expenditure estimates is not presented.
- d. No, expenditure estimates have not been updated.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

96. Does the Mid-Year Review of the adopted MTERF present expenditure estimates by any of the three expenditure classifications (by vote, type, or functional classification)?

- a. Yes, the Mid-Year Review presents expenditure estimates by all three expenditure classifications (by vote, type, and functional classification).

- b. Yes, the Mid-Year Review presents expenditure estimates by two of the three expenditure classifications.
- c. Yes, the Mid-Year Review presents expenditure estimates by only one of the three expenditure classifications.
- d. No, the Mid-Year Review does not present expenditure estimates by any expenditure classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

97. Does the Mid-Year Review of the adopted MTREF present updated operational expenditure estimates type for individual votes?

- a. Yes, all updated operational expenditure estimates are presented for votes by type.
- b. Yes, some but not all updated operational expenditure estimates are presented for votes by type.
- c. No, updated operational expenditure estimates are not presented for votes by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

98. Does the Mid-Year Review of the adopted MTREF present updated operational expenditure estimates by sub-type?

- a. Yes, all updated operational expenditure estimates are presented by sub-type.
- b. Yes, some but not all updated operational expenditure estimates are presented by sub-type.
- c. No, updated operational expenditure estimates are not presented by sub-type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

99. Does the Mid-Year Review of the adopted MTREF present updated expenditure estimates by sub-vote?

- a. Yes, all updated expenditure estimates are presents by sub-vote.
- b. Yes, some but not all updated expenditure estimates are presents by sub-vote.
- c. No, updated expenditure estimates are not presented by sub-vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

100. Does the Mid-Year Review of the adopted MTREF present actual expenditures for individual capital projects?

- a. Yes, all actual expenditures are presented for individual capital projects.
- b. Yes, some but not all actual expenditures are presented for individual capital projects.
- c. No, actual expenditures are not presented for individual capital projects.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

101. Does the Mid-Year Review of the adopted MTREF include updated expenditure estimates for individual capital projects for the budget year underway?

- a. Yes, expenditure estimates have been updated, and an explanation of all the differences between the original and updated expenditure estimates is presented.
- b. Yes, expenditure estimates have been updated, and an explanation of some but not all the differences between the original and updated expenditure estimates is presented.
- c. No, expenditure estimates have not been updated.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Annual Report

102. Does the Annual Report present the differences between the adopted levels (including in-year changes adopted by the Council) and the actual outcome for expenditures?

- a. Yes, estimates of the differences between the adopted levels and the actual outcome for all expenditures are presented, along with a narrative discussion.
- b. Yes, estimates of the differences between the adopted levels and the actual outcome for some but not all expenditures are presented, or a narrative discussion is not presented.
- c. No, estimates of the differences between the adopted levels and the actual outcome for expenditures are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

103. Does the Annual Report present actual expenditures by vote and function?

- a. Yes, the Annual Report presents actual expenditures by vote and function.
- b. Yes, the Annual Report presents some but not all actual expenditures by vote and function.
- c. No, the Annual Report does not present actual expenditure estimates by any expenditure classification.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

104. Does the Annual Report present actual operational expenditure estimates for votes by type?

- a. Yes, all actual operational expenditures are presented for votes by type.
- b. Yes, some but not all actual operational expenditures are presented for votes by type.
- c. No, actual operational expenditures for votes are not presented by type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

105. Does the Annual Report present actual expenditures by sub-votes?

- a. Yes, all actual expenditures are presented by sub-votes.
- b. Yes, some but not all actual expenditures are presented by sub-votes.
- c. No, actual expenditures are not presented by sub-vote.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

106. Does the Annual Report of the budget present actual operational expenditures by sub-type?

- a. Yes, all actual operational expenditures are presented by sub-type.
- b. Yes, some but not all actual operational expenditures are presented by sub-type.
- c. No, actual operational expenditures are not presented by sub-type.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

107. Does the Annual Report present actual expenditures for individual capital projects?

- a. Yes, the Annual Report present actual expenditures for all individual capital projects.
- b. Yes, the Annual Report present actual expenditures for some but not all, individual capital projects.
- c. No, the Annual Report does not present actual expenditures for individual capital projects.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

108. Does the Annual Report present the differences between the adopted levels (including in-year changes adopted by the Council) and the actual outcome for individual capital projects?

- a. Yes, estimates of the differences between the adopted levels and the actual outcome for all individual capital projects are presented, along with a narrative discussion.
- b. Yes, estimates of the differences between the adopted levels and the actual outcome for some but not all individual capital projects are presented.
- c. No, estimates of the differences between the adopted levels and the actual outcome for individual capital projects are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

109. Does the Annual Report present the differences between the adopted levels (including in-year changes adopted by the Council) and the actual outcome for revenues?

- a. Yes, estimates of the differences between the adopted levels and the actual outcome for all revenues are presented, along with a narrative discussion.

- b. Yes, estimates of the differences between the adopted levels and the actual outcome for some but not all revenues are presented.
- c. No, estimates of the differences between the adopted levels and the actual outcome for revenues are not presented.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

110. Does the Executive make available to the public a report on what steps it has taken to address audit recommendations or findings that indicate a need for remedial action?

- a. Yes, the Executive reports publicly on what steps it has taken to address audit findings.
- b. Yes, the Executive reports publicly on what steps it has taken to address some, but not all, audit findings.
- c. No, the Executive does not report on steps it has taken to address audit findings.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Section 4: Role and Effectiveness of Oversight Institutions in the Budget Process

111. Does the full Council and/or a Council committee debate budget policy prior to the tabling of the draft MTREF?

- a. Yes, the full Council debates budget policy prior to the tabling of draft MTREF and approves recommendations for the upcoming budget.
- b. Yes, a Council committee debates budget policy prior to the tabling of the draft MTREF, and approves recommendations for the upcoming budget.
- c. Yes, the full Council and/or a Council committee debates budget policy prior to the tabling of the draft MTREF, but it does not approve recommendations for the upcoming budget.
- d. No, neither the full Council nor any Council committee debate budget policy prior to the tabling of the Executive's Budget Proposal

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

112. How far in advance of the start of the budget year does the Council receive the draft MTREF?

- a. The Council receives the draft MTREF at least 90 days before the start of the budget year.
- b. The Council receives the draft MTREF at least 45 days, but less than 90 days, before the start of the budget year.
- c. The Council receives the draft MTREF less than 45 days before the start of the budget year.
- d. The Council does not receive the draft MTREF before the start of the budget year.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

113. When does the Council approve the draft MTREF?

- a. The Council approves the budget at least 30 days in advance of the start of the budget year.
- b. The Council approves the budget less than 30 days in advance of the start of the budget year, but at least by the start of the budget year.
- c. The Council approves the budget more than one month after the start of the budget year, or does not approve the budget.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

114. During the most recent budget approval process, did the Council use its authority in law to amend the draft MTREF?

- a. Yes, the Council used its authority in law to amend the draft MTREF, and (at least some of) its amendments were adopted.
- b. No, while the Council has the authority in law to propose amendments to the draft MTREF, no amendments were offered.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

115. During the last budget approval process, did a specialized budget or finance committee in the Council examine the draft MTREF?

- a. Yes, a specialized budget or finance committee had at least one month to examine the draft MTREF, and it published a report with findings and recommendations prior to the budget being adopted.
- b. Yes, a specialized budget or finance committee had less than one month to examine the draft MTREF, and it published a report with findings and recommendations prior to the budget being adopted.
- c. Yes, a specialized budget or finance committee examined the draft MTREF, but it did not publish a report with findings and recommendations prior to the budget being adopted.
- d. No, a specialized budget or finance committee did not examine the draft MTREF.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

116. During the last approval process, did Council committees responsible for particular sectors, examine spending in the draft MTREF related to the sector for which they are responsible?

- a. Yes, sector committees had at least one month to examine the draft MTREF, and they published reports with findings and recommendations prior to the budget being adopted.
- b. Yes, sector committees had less than one month to examine the draft MTREF, and they published reports with findings and recommendations prior to the budget being adopted.
- c. Yes, sector committees examined the draft MTREF, but they did not publish reports with findings and recommendations prior to the budget being adopted.
- d. No, sector committees did not examine the draft MTREF.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

117. During approval, does the Council or a Council committee specifically debate the prioritization of individual capital projects?

- a. Yes, the Council or a Council committee specifically debates the prioritization of individual capital projects and publishes a report on its deliberations.
- b. Yes, the Council or a Council committee specifically debates the prioritization of individual capital projects but does not publish a report on its deliberations.
- c. No, the Council or a Council committee does not specifically debate the prioritization of individual capital projects

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

118. In the past 12 months, did a committee of the Council examine in-year implementation of the Adopted Budget during the relevant budget execution period?

- a. Yes, a committee examined in-year implementation on at least four occasions during a fiscal year, and it published reports with findings and recommendations.
- b. Yes, a committee examined in-year implementation on one or more occasion (but less than four times), and it published a report with findings and recommendations.
- c. Yes, a committee examined in-year implementation, but it did not publish any report with findings and recommendations.
- d. No, a committee did not examine in-year implementation.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

119. Does the Executive seek approval from the Council prior to shifting funds between votes that receive explicit funding in the Adopted Budget, and is it legally required to do so?

- a. The Executive is required by law or regulation to obtain approval or input from the Council prior to shifting funds between votes, and it does so in practice.
- b. The Executive obtains approval or input from the Council prior to shifting funds between votes, but is not required to do so by law or regulation.

- c. The Executive is required by law or regulation to obtain approval or input from the Council prior to shifting funds between votes, but in practice the Executive shifts funds without seeking prior approval or input from the Council.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

120. Did a committee of the Council examine the Audit Report on the annual budget produced by the Auditor-General?

- a. Yes, a committee examined the Audit Report on the annual budget within three months of its availability, and it published a report with findings and recommendations.
- b. Yes, a committee examined the Audit Report on the annual budget within six months (but more than three months) of its availability, and it published a report with findings and recommendations.
- c. Yes, a committee examined the Audit Report on the annual budget, but it did so after the report had been available for more than six months or it did not publish any report with findings and recommendations.
- d. No, a committee did not examine the Audit Report on the annual budget.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

Section 5: Public Engagement in the Budget Process

121. Does the Executive release to the public its schedule of key deadlines for formulating the draft MTREF (that is, a document setting deadlines for the planning, formulating and tabling of the MTREF)?

- a. Yes, a detailed timetable is released to the public at least 10 months before the budget is tabled in Council.
- b. Yes, a timetable is released, but later than 10 months before the tabling of the budget in Council.
- c. No, a timetable is not released to the public.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

122. Are participation mechanisms incorporated into the Executive's timetable for formulating the draft MTREF?

- a. Yes, the Executive incorporates participation into its timetable for formulating the draft MTREF and the timetable is available to the public.
- b. Yes, the Executive incorporates participation into its timetable for formulating the draft MTREF, but the timetable is not available to the public
- c. No, the Executive does not incorporate participation into its timetable for formulating the draft MTREF.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

123. When the Executive engages with the public, does it provide comprehensive prior information on the process of the engagement, so that the public can participate in an informed manner?

Comprehensive information must include at least three of the following elements:

Purpose

Scope

Constraints

Intended outcomes

Process and timeline

- a. Comprehensive information is provided in a timely manner prior to the public's engagement in both budget formulation and implementation phases.
- b. No, comprehensive information is not provided in a timely manner prior to the public's engagement in both budget formulation and implementation phases.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

124. Does the Council publish an agenda, time and place for meetings where the budget will be discussed well in advance and where it is easily accessible?

- a. The agenda, time and place are published online and posted on an appropriate notice board at least 5 working days in advance
- b. The agenda, time and place and meeting times are published online and posted on an appropriate notice board but fewer than 5 working days in advance.
- c. The agenda, time and place are not published online or posted on an appropriate notice board.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

125. Does the Executive use participation mechanisms through which the public can provide input during the formulation of the annual budget (prior to the draft budget being tabled in Council)?

- a. Yes, the Executive uses open participation mechanisms through which members of the public provide their inputs on the budget.
- b. Yes, the Executive uses participation mechanisms during the budget formulation phase, but either these mechanisms capture only some ad-hoc views, or the Executive invites specific individuals or groups for budget discussions (participation is not, in practice, open to everyone).
- c. No, the Executive does not use such mechanisms.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

126. With regard to the mechanism identified in question 127, does the Executive take concrete steps to include input from vulnerable and underrepresented parts of the population in the formulation of the annual budget?

- a. Yes, the Executive takes concrete steps to include individuals and/or CSOs representing vulnerable and underrepresented parts of the population in the formulation of the annual budget.
- b. No, the Executive takes concrete steps to include individuals and/or CSOs representing vulnerable and underrepresented parts of the population in the formulation of the annual budget.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

127. During the budget formulation stage, which of the following key topics does the Executive’s engagement with the public cover?

For the purpose of this question, key topics are considered to be:

Revenue forecasts, policies, and administration

Basic Services policies
Debt levels
Capital projects

- a. The Executive's engagement with the public covers all four topics
- b. The Executive's engagement with the public covers at least basic services policies and capital projects, but not all the above-mentioned topics
- c. No, the Executive does not engage with the public during the budget formulation phase.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

128. During the budget formulation stage, does the Executive provide formal mechanisms through which the public can comment on the prioritization of individual capital projects?

- a. Yes, the Executive provides such formal mechanism and provide information on how the Executive itself prioritized individual capital projects
- b. Yes, the Executive provides such formal mechanism but does not provide information on how the Executive itself prioritized individual capital projects
- c. No, the Executive does not provide such a mechanism

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

129. Do one or more departments or votes use participation mechanisms through which the public can provide input during the formulation of the annual budget?

- a. Yes, more than one administrative unit uses open participation mechanisms through which members of the public and government officials exchange views on the budget.
- b. Yes, at least one administrative unit uses open participation mechanisms through which members of the public provide their inputs on the budget.
- c. Yes, at least one administrative unit uses participation mechanisms during the budget formulation phase, but either these mechanisms capture only some ad-hoc views, or the Executive invites specific individuals or groups for budget discussions (participation is not, in practice, open to everyone).
- d. No, no administrative unit uses open participation mechanisms through which members of the public and government officials exchange views on the budget.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

130. Does the Council or the relevant Council committee(s) hold public hearings and/or use other participation mechanisms through which the public can provide input during its public deliberations on the formulation of the annual budget (formulation and/or approval stages)?

- a. Yes, public hearings are held, and members of the public/CSOs testify.
- b. Yes, public hearings are held. No testimony from the public is provided during the public hearings, but contributions from the public are received through other means.
- c. Yes, public hearings are held. No testimony from the public is provided during the public hearings, and there are no other mechanisms through which public contributions are received, but the Council invites specific individuals or groups to testify or provide input (participation is not, in practice, open to everyone).
- d. No, public hearings are not held, and members of the public/CSOs do not testify.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

131. Does the Council provide feedback to the public on how the public's inputs have been used during Council deliberations on the annual budget?

- a. Yes, the Council provides a written record which includes both the list of inputs received and a report of the how the inputs were used.
- b. Yes, the Council provides a written record which includes either the list of the inputs received or a report on how they were used.

- c. No, the Council does not provide feedback

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

132. During the approval phase stage, does the Council provide specific mechanisms through which the public can comment on the prioritization of individual capital projects?

- a. Yes, the Council provides such specific mechanisms.
- b. No, the Council does not provide such specific mechanisms.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

133. During the Council deliberations on the annual budget (formulation or approval stages), which of the following key topics does the Council's (or relevant Council budget committee) engagement with the public cover?

For the purpose of this question, key topics are considered to be:

Revenue forecasts, policies, and administration

Basic services policies

Debt levels

Capital projects

- a. The Council seeks input on all four topics
- b. The Council seeks input on at least basic services policies and capital projects, but not all the above-mentioned topics
- c. No, the Council does not seek input on any of these topics.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

134. Does the Executive use participation mechanisms through which the public can provide input on the implementation of the annual budget?

- a. Yes, the Executive uses open participation mechanisms through which members of the public provide their inputs on budget implementation.

- b. Yes, the Executive uses participation mechanisms during the budget implementation phase, but either these mechanisms capture only some ad-hoc views, or the Executive invites specific individuals or groups for budget discussions (participation is not, in practice, open to everyone).
- c. No, the Executive does not engage with the public in monitoring the implementation of the annual budget.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

135. During the implementation of the annual budget, which of the following topics does the Executive’s engagement with the public cover?

For the purpose of this question, key topics are considered to be:

- Delivery of basic services
- Collection of revenue
- Changes in debt levels
- Implementation of capital projects

- a. The Executive’s engagement with the public covers all four topics
- b. The Executive’s engagement with the public covers at least the delivery of basic services and the implementation of capital projects, but not all five of the above-mentioned topics.
- c. No, the Executive does not engage with the public during the budget implementation phase.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

136. Do one or more votes in the Executive use participation mechanisms through which the public can provide input during the implementation of the annual budget?

- a. Yes, more than one administrative unit uses open participation mechanisms through which members of the public and government officials exchange views on the budget.
- b. Yes, at least one administrative unit uses open participation mechanisms through which members of the public provide their inputs on the budget.
- c. Yes, at least one administrative unit uses participation mechanisms during the budget implementation phase, but either these mechanisms capture only some ad-hoc views, or the Executive invites specific individuals or groups for budget discussions (participation is not, in practice, open to everyone).
- d. No, no administrative unit uses open participation mechanisms through which members of the public and government officials exchange views on the budget

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

137. Does the Council or the relevant Council committee(s) hold public hearings and/or use other participation mechanisms through which the public can provide input during its public deliberations on the implementation of the annual budget?

- a. Yes, public hearings are held, and members of the public/CSOs testify.
- b. Yes, public hearings are held. No testimony from the public is provided during the public hearings, but contributions from the public are received through other means.
- c. Yes, public hearings are held. No testimony from the public is provided during the public hearings, and there are no other mechanisms through which public contributions are received, but the Council invites specific individuals or groups to testify or provide input (participation is not, in practice, open to everyone).
- d. No, public hearings are not held, and members of the public/CSOs do not testify.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

138. Does the Council hold public hearings and/or use other participation mechanisms through which the public can provide input during its public deliberations on the Audit Report?

- a. Yes, public hearings on the Audit Report are held, and members of the public/CSOs testify.
- b. Yes, public hearings on the Audit Report are held. No testimony from the public is provided during the hearings, but contributions from the public are received through other means.
- c. Yes, public hearings on the Audit Report are held. No testimony from the public is provided during the hearings and there are no other mechanisms through which public contributions are received, but the Council invites specific individuals or groups to testify or provide input (participation is not, in practice, open to everyone).
- d. No, public hearings on the Audit Report are not held, and members of the public/CSOs do not testify.

[Metro Name]

Score:

Sources:

Comments:

Government Reviewer:

Peer Reviewer:

THE END